

## THE CLASSIFICATION OF REAL PRIMITIVE INFINITE LIE ALGEBRAS

STEVE SHNIDER

The objects under consideration are the abstract transitive infinite Lie algebras (TILA) denoted  $(L, L^0)$  which are introduced in [4]. If we let  $V = L/L^0$ , then the realization theorem of [4] proves that  $(L, L^0)$  is topologically isomorphic to a subalgebra of  $D(V)$ , the continuous derivations of the formal power series  $F(V^*)$ . The complex primitive TILA have been classified.

We begin by recalling this classification. The results of [3] show that  $g_L^0 = L^0/L^1$  contains elements of rank one. This fact is used to show that  $g_L^0$  is one of the following: 1.  $\mathfrak{sl}(n, \mathbb{C})$ , 2.  $\mathfrak{gl}(n, \mathbb{C})$ , 3.  $\mathfrak{sp}(n, \mathbb{C})$ , 4.  $\text{Csp}(n, \mathbb{C})$  ( $\mathfrak{sp}(n, \mathbb{C}) + \{I\}$ ), or that there is a formal 1-form of maximal rank such that the principle module generated by it over  $F(V^*)$  is preserved under Lie derivation by elements of  $L$ .

In [6] these possibilities are analyzed and the following results established:

1. If the linear isotropy algebra ( $= g_L^0$ ) is  $\mathfrak{sl}(n, \mathbb{C})$ , then  $L$  is the algebra of all vector fields with divergence zero.
2. If the linear isotropy algebra is  $\mathfrak{gl}(n, \mathbb{C})$ , then  $L$  is either the algebra of vector fields of constant divergence or the algebra of all vector fields.
3. If the linear isotropy algebra is  $\mathfrak{sp}(n, \mathbb{C})$ , then  $L$  is the algebra of all Hamiltonian vector fields.
4. In the last case  $L$  is the contact algebra.

We plan to classify the real primitive TILA using these results. We begin with a theorem of Guillemin proved in [1].

**Theorem 1.** *Any primitive TILA  $(L, L^0)$  contains a closed ideal  $I$  of finite codimension such that  $(I, I \cap L^0)$  is a primitive, simple TILA.*

If  $(L, L^0)$  is a TILA, we define a filtered derivation to be a derivation  $d$  for which there exists an integer  $-1 \leq i < \infty$  such that  $d: L^k \rightarrow L^{k+i}$  for all  $k$ .

**Corollary.** *Any primitive TILA is contained in the algebra of filtered derivations of a primitive simple TILA, and contains the algebra of inner derivations as a closed ideal of finite codimension.*

Note that the filtered derivations of a TILA  $(M, M^0)$  form a filtered algebra  $\Delta$ . Set  $g_M^i = \Delta^i/\Delta^{i+1}$ .  $g_M^0 \otimes g_M^{-1}$  is mapped injectively into the derivations of  $g_M^0 \otimes g_M^{-1}$  which preserve  $g_M^{-1}$  which we shall denote by  $\text{Der}_*(g_M^0 \otimes g_M^{-1})$ .

The program for completing the classification of real primitive TILA is to determine

1. all real simple TILA  $(M, M^0)$ ,
2.  $\text{Der}_*(g_M^0 \otimes g_M^{-1})$  for these  $M$ ,
3. the possible primitive TILA  $(L, L^0)$  such that (a)  $g_L^0 \otimes g_L^{-1}$  is isomorphic to a subalgebra of  $\text{Der}_*(g_M^0 \otimes g_M^{-1})$  containing  $g_M^0 \otimes g_M^{-1}$ , and (b)  $L$  contains a subalgebra  $N$  isomorphic to  $M$  such that  $g_M^0 \otimes g_M^{-1}$  is mapped isomorphically onto  $g_M^0 \otimes g_M^{-1}$  under the isomorphism in (a).

### I. Determination of the real simple TILA

Let  $(M, M^0)$  be a real simple TILA, and let  $L = M \otimes \mathbb{C}$ . If  $L$  is not simple, let  $I$  be a proper closed ideal. Then define  $\pi$  and  $\rho$  as follows:  $\pi(X + iY) = X$ ,  $\rho(X + iY) = Y$ . Let  $J = \pi[I] \subset M$ . If we can show that  $\pi$  is  $1 - 1$ , then by the theorem in [1] stating that any continuous  $1 - 1$  map between TILA is uniformly continuous we can conclude that  $J$  is closed. Hence  $J = M$ .  $\rho \circ \pi^{-1}$  defines a complex structure on  $M$ , and  $M$  is a complex simple primitive TILA. It is the algebra of vector fields corresponding to one of the groups in [2].

$\pi$  is  $1 - 1$ . If not, then there exist purely imaginary elements of  $I$  and hence pure real elements.  $I \cap M \neq \{0\}$ , so that  $I \cap M$  and  $I \supset M$ . This implies  $I = L$  contradicting all propriety.

Thus we have reduced the classification problem of part I to the case where  $M$  is a real form of a complex simple TILA. In this case is  $(L, L^0)$  primitive? We shall show that it is. For this we use an alternate characterization of primitivity proved in [7].

**Theorem 2.** *A TILA  $(L, L^0)$  is primitive if and only if  $F(L/L^0)$  has no invariant proper subalgebras other than  $\{0\}$  and constants.*

**Theorem 3.** *Suppose  $(M, M^0)$  is a real simple primitive TILA,  $L = M \otimes \mathbb{C}$ , and  $L^0 = M^0 \otimes \mathbb{C}$ . If  $(L, L^0)$  is simple, then it is also primitive.*

*Proof.* Let  $F(M/M^0) = G$ ; then  $F(L/L^0) = G \otimes \mathbb{C}$ . Suppose  $(L, L^0)$  is not primitive; then there exists an invariant nontrivial subalgebra  $F^1$  of  $G \otimes \mathbb{C}$ . Let  $F_2$  consist of the complex conjugates of the elements of  $F_1$ . Then  $F_0 = F_1 \cap F_2$  is of the form  $G_0 \otimes \mathbb{C}$ , and  $F_1 \cdot F_2$  is also of the form  $G_1 \otimes \mathbb{C}$ .  $G_0 \neq G$ , so that  $G_0 = \{0\}$  or  $\mathbb{R}$ .  $G_1 \neq \{0\}, \mathbb{R}$ , so that  $G_1 = G$ . We conclude that  $F_1 \supset \mathbb{C}$  and  $F_1 \cdot F_2 = G$ . Suppose  $f \in F^0(L/L^0)$ . Then  $f = \sum f_i \bar{g}_i$  where  $f_i, g_i \in F_1$ . If  $f \in F^0(L/L^0)$  (power series with no constant term), then  $f = \sum \{[f_i - f_i(0)]\bar{g}_i + f_i(0)[g_i - g_i(0)]\}$ . Since  $F_1 \supset \mathbb{C}$ , there exists a  $h_i \in F_1 \cap F^0$  such that  $g_i = \lambda + h_i$ . Thus  $F_1 \cap F^0/F_1 \cap F^1$  splits  $F^0/F^1$ . If  $x^1, \dots, x^n$  are the cosets spanning  $F_1 \cap F^0/F_1 \cap F^1$ , then  $F_1 = F(x^1, \dots, x^n)$ , showing that  $\{X | X \in L, X \cdot F_1 = 0\}$  is a nontrivial proper closed ideal of  $L$  contradicting simplicity.

$(L, L^0)$  is a complex primitive TILA, and  $M$  is a real simple subalgebra which is primitive with respect to the filtration  $M^i = L^i \cap M$ . If  $L/L^0 = V$ , then  $L$  is isomorphic to one of the subalgebras of  $D(V)$  mentioned in the introduction. Let  $M/M^0 = W$ . Then  $W$  is a real form of  $V$ , and  $M^0/M^1 = g_M^0$  is a real form of  $g_L^0$  leaving  $W$  fixed. If  $g_L^0$  is  $\mathfrak{gl}(V)$  of  $\mathfrak{sl}(V)$ , then it is immedi-

ate that  $g_M^0 = \mathfrak{gl}(W)$  or  $\mathfrak{sl}(W)$ . If  $g_L^0$  is  $\mathfrak{sp}(V)$  where the alternating bilinear form defining  $\mathfrak{sp}(V)$  is  $J$ , then we reason as follows. Choose a basis for  $V$  in  $W$ . With respect to this basis  $g_M^0$  is a Lie algebra of real matrices which is a real form for  $g_L^0$ . Let  $A_1, \dots, A_r$  be a basis for  $g_M^0$ , and  $J$  also denote the matrix defining the form  $J$  with respect to our basis. Consider the equations for a matrix  $B$  given by  $A_k B + B A_k = 0, k = 1, \dots, r$ , let  $B_1, \dots, B_l$  be the real matrices spanning the solution space, and define  $f(x^1, \dots, x^l) = \det(x^1 B_1 + \dots + x^l B_l)$ . Then  $J = \lambda^1 B_1 + \dots + \lambda^l B_l$  for some  $(\lambda^1, \dots, \lambda^l)$ , and thus  $f(\lambda^1, \dots, \lambda^l) \neq 0$  and there exist real numbers  $a^1, \dots, a^l$  such that  $f(a^1, \dots, a^l) \neq 0$ . Set  $J^* = A^1 B_1 + \dots + A^l B_l$ . By a dimension argument due to Matsushima we see  $g_M^0 = \mathfrak{sp}(W, J^*)$ .

In the three case where

$$g_L^0 = \begin{cases} 1. & \mathfrak{gl}(V), \\ 2. & \mathfrak{sl}(V), \\ 3. & \mathfrak{sp}(V, J), \end{cases} \quad \text{we find} \quad g_M^0 = \begin{cases} 1. & \mathfrak{gl}(W), \\ 2. & \mathfrak{sl}(W), \\ 3. & \mathfrak{sp}(W, J^*). \end{cases}$$

The reasoning in [6] shows that the only simple algebras with these linear isotropy algebras are

1.  $D(W)$ ,
2. all vector fields in  $D(W)$  with 0 divergence,
3. all Hamiltonian vector fields.

To complete the first step of the classification we must study the real forms of the contact algebra.

Let  $V$  be an odd dimensional vector space, and  $x^1, \dots, x^n, y^1, \dots, y^n, z$  a basis for the dual  $V^*$ . The contact algebra is the subalgebra of  $D(V)$  consisting of those vector fields  $X$  such that  $D_X \omega = f_X \omega$  where  $D_X$  is the Lie derivative with respect to  $X, \omega$  is the 1-form  $dz + \Sigma(y^i dx^i - x^i dy^i)$ , and  $f_X$  is a function on  $V$ .

In [6] the structure of this algebra is analyzed. Let  $g$  be the corresponding graded algebra. Then  $V = g^{-1}$  is spanned by the cosets of  $\partial/\partial z, \partial/\partial x^i + y^i \partial/\partial z, \partial/\partial y^i - x^i \partial/\partial z$ .  $g^0$  is spanned by the cosets of the following vector fields:

$$\begin{aligned} & x^i \partial/\partial x^j - y^j \partial/\partial y^i, x^i \partial/\partial y^j + x^j \partial/\partial y^i, y^i \partial/\partial x^j + y^j \partial/\partial x^i, \\ & z \partial/\partial y^i - x^i \left( z \partial/\partial z + \sum_1^n x^j \partial/\partial x^j + y^j \partial/\partial y^j \right), \\ & z \partial/\partial x^i + y^i \left( z \partial/\partial z + \sum_1^n x^j \partial/\partial x^j + y^j \partial/\partial y^j \right), \\ & 2z \partial/\partial z + \sum_1^n (x^i \partial/\partial x^i + y^i \partial/\partial y^i). \end{aligned}$$

Let  $V_0$  be the subspace of  $g^{-1}$  spanned by the cosets of

$$\partial/\partial x^i + y^i \partial/\partial z, \quad \partial/\partial y^i - x^i \partial/\partial z.$$

Define  $\gamma: V_0 \rightarrow g_L^0$ ,

$$\begin{aligned} \text{coset } (\partial/\partial x^i + y^i \partial/\partial z) &\mapsto \\ &\text{coset } (z\partial/\partial x^i + y^i(z\partial/\partial z + \sum (x^j \partial/\partial x^j + y^j \partial/\partial y^j))) , \\ \text{coset } (\partial/\partial y^i - x^i \partial/\partial z) &\mapsto \\ &\text{coset } (z\partial/\partial y^i - x^i(z\partial/\partial z + \sum (x^j \partial/\partial x^j + y^j \partial/\partial y^j))) . \end{aligned}$$

If  $V_* = \gamma(V_0)$ , then  $g_L^0 \cong \text{Csp}(V_*) \otimes V_*$ , where  $\text{Csp}(V_*)$  is the symplectic algebra plus center. Henceforth let  $(L, L^0)$  denote the contact algebra, and  $(M, M^0)$  be a real form  $(M, M^0) \otimes C = (L, L^0)$ . Then we have  $g_M^0 \otimes C = g_L^0$ ,  $g_M^{-1} \otimes C = g_L^{-1}$ .

We will first determine the real forms of  $\text{Csp}(V_*) \otimes V_*$ , which leave a real form of  $V$  invariant, and then consider the prolongations of these algebras to find which linear algebras are  $g^0$  of a graded algebra which complexifies properly, and finally to find which filtered algebras corresponding to these graded algebras complexify properly.

Let  $G$  be a real form of  $\text{Csp}(V_*) \otimes V_*$  leaving some real form of  $V$  invariant,  $i: G \rightarrow \text{Csp} \otimes V_*$  the inclusion map,  $\alpha$  the component with range in  $\text{Csp}(V_*)$ , and  $\beta$  the component with range in  $V_*$ . Then

$$\begin{aligned} \alpha[X, Y] &= [\alpha(X), \alpha(Y)] , \\ \beta[X, Y] &= [\alpha(X), \beta(Y)] - [\alpha(Y), \beta(X)] . \end{aligned}$$

Kernel  $\alpha = W$  is an ideal, and  $\beta \upharpoonright W$  is injective. Therefore  $W$  is abelian.  $\beta(W)$  is a real form of  $V_*$  since  $\beta(W) \cap i\beta(W) = \{0\}$  and  $\beta(W) + i\beta(W) = V_*$ . Both of these equalities hold because the subspaces on the right are complex subspace invariant under  $\alpha(G) + i\alpha(G) = \text{Csp}(V_*)$ .

Let  $\hat{\alpha}$  be the factorization of  $\alpha$  through  $\hat{G} = G/W$ . Then  $\hat{\alpha}(G)$  is a real form of  $\text{Csp}(V_*)$  leaving a real form of  $\text{Csp}(V_*)$  invariant, and  $\hat{\alpha}[\hat{G}, \hat{G}]$  is a real form of  $\text{sp}(V_*)$  leaving a real form  $\beta(W)$  of  $V_*$  invariant. We have seen that in this case  $\hat{\alpha}[\hat{G}, \hat{G}] = \text{sp}(\beta(W), J_*)$ .

Since every element of  $\hat{\alpha}(\hat{G})$  can be expressed as a matrix with real coefficients and the identity is in  $\hat{\alpha}(\hat{G}) + i\hat{\alpha}(\hat{G})$ , the identity must be in  $\hat{\alpha}(\hat{G})$ . Therefore  $\hat{G} = [\hat{G}, \hat{G}] + \hat{\alpha}^{-1}(I)$ , and  $\hat{G}$  is a simple subalgebra of  $\text{End}(W)$  plus center. Thus all extensions of  $\hat{G}$  are inessential. Since  $G$  is such an extension,  $G = G_0 \otimes W$ , where  $G_0$  is mapped bijectively onto  $G/W$  under projection.

Choose a basis  $\{w_i, w_{2n}\}$  for  $W$  such that  $J_*$  has the form  $\sum_1^n w_i^* \wedge w_{i+n}^*$ . There exists a  $u \in g_M^{-1}$  such that  $u = \alpha \partial/\partial z + \sum (u^i \partial/\partial x^i + \lambda^i \partial/\partial y^i)$  where  $\alpha \neq 0$ . Change basis in  $V$  so that

$$\begin{aligned} \partial/\partial \bar{z} &= \alpha \partial/\partial z + \sum (u^i \partial/\partial x^i + \lambda^i \partial/\partial y^i) , \\ \partial/\partial \bar{x}^i &= [\partial/\partial \bar{z}, w_i] , \\ \partial/\partial \bar{y}^i &= [\partial/\partial \bar{z}, w_{i+n}] . \end{aligned}$$

With respect to this basis,  $w_i = \bar{z}\partial/\partial x^i, w_{i+n} = z\partial/\partial \bar{y}^i$ , and also  $G_0$  is spanned by

$$\begin{aligned} \bar{x}^j\partial/\partial \bar{x}^j - \bar{y}^j\partial/\partial \bar{y}^j, & \quad \bar{x}^j\partial/\partial \bar{y}^i + \bar{x}^i\partial/\partial \bar{y}^j, \\ \bar{y}^j\partial/\partial \bar{x}^i + \bar{y}^i\partial/\partial \bar{x}^j, & \quad \partial \bar{z}\partial/\partial \bar{z} + \sum_1^n (\bar{z}^i\partial/\partial \bar{x}^i + \bar{y}^i\partial/\partial \bar{y}^i). \end{aligned}$$

What is  $g_M$ , if  $g_M^{-1}$  and  $g_M^0$  are as above?

Let  $U_* = \text{span} \{\partial/\partial \bar{x}^i, \partial/\partial \bar{y}^i\}_{i=1, \dots, n}$ . In [6], it is established that

$$(g_M^0)^{(k)} = \{z_k\} \oplus \bar{z}^k U_* \oplus \bar{z}^{k-1} \text{sp}(U_*) \oplus \dots \oplus \text{sp}(U_*)^{(k)},$$

where

$$z_k = \frac{2\bar{z}^{k+1}}{(k+1)!} \partial/\partial \bar{z} + \frac{\bar{z}^k}{k!} \sum_1^n (\bar{x}^i\partial/\partial \bar{x}^i + \bar{y}^i\partial/\partial \bar{y}^i).$$

Since  $g_M^k$  is the full prolongation which has the same representation as  $(g_M^0)^{(k)}$  only with respect to the basis  $\{\partial/\partial z, \partial/\partial x^i, \partial/\partial y^i\}$ , we conclude that  $(g_M^0)^{(x)} = g_M^k$ . The graded algebra of  $M$  has the same structure as the graded algebra of the contact algebra.

We must now determine the possible filtered algebras having this graded structure. The solution depends on the cohomology groups studied in [6].

We first give two preliminary definitions, and then two relevant theorems. If  $v_1, \dots, v_l$  are in  $g^{-1}$ , we define  $g_{\{v_1, \dots, v_l\}}^k = \{X | X \in g^k, [X, v_i] = 0, i = 1, \dots, l\}$ . We also define  $\delta_{v_i}(X) = [X, v_i]$ .

**Theorem 4.** *If there exists a basis  $v_1, \dots, v_l$  for  $g_M^{-1}$  such that*

$$\delta_{v_i} g_M^{k+1} = g_M^k, \quad \delta_{v_2} g_{\{v_1\}}^{k+1} = g_{\{v_1\}}^k, \dots, \quad \delta_{v_n} g_{\{v_1, \dots, v_{n-1}\}}^{k+1} = g_{\{v_1, \dots, v_{n-1}\}}^k,$$

then  $H^{k,1}(g_M) = 0, k \geq 0$ .

**Theorem 5.** *Let  $(N, N^0), (P, P^0)$  be TILA, and suppose that*

- (a)  $i_{k_0}: (N, N^0) \rightarrow (P, P^0)$ ,
- (b)  $i_{k_0}$  induces an isomorphism  $g_N^{-1} \rightarrow g_P^{-1}$ ,
- (c)  $[i_{k_0}(X), i_{k_0}(Y)] - i_{k_0}[X, Y] \in P^{k_0}$ ,
- (d)  $H^{k,1}(g_P) = H^{k,2}(g_P) = 0, k \leq k_0$ .

Then there exists an algebra homomorphism  $i: (N, N^0) \rightarrow (P, P^0)$  with  $i = i_k, \text{ mod } p^k$ .

In the case where  $P$  is the real contact algebra which we shall denote by  $Q$ ,  $\{[\partial/\partial z], [\partial/\partial x^i + y^i\partial/\partial z], [\partial/\partial y^i + x^i\partial/\partial z]\}$ , where  $[\cdot]$  denotes coset with respect to  $Q^0$ , is a basis satisfying the conditions of Theorem 4. If  $P = g_Q$ , the graded algebra of  $Q$ , then  $\{\partial/\partial z, \partial/\partial x^i, \partial/\partial y^i\}$  form a basis satisfying the conditions of Theorem 4.

We will show that for any  $N$  such that  $g_N \cong g_Q$ , we can choose one of the

above algebras  $Q$  or  $g_Q$ , and for  $k = 0$  we get an  $i_k: (N, N^0) \rightarrow (P, P^0)$  satisfying Theorem 5.

Let  $N_*$  be a complement to  $N^0$  in  $N$ , assume  $P = g_Q$ , and let  $P_*$  be the complement  $\{\partial/\partial z, \partial/\partial x^i, \partial/\partial y^i\}$  to  $P^0$ , and  $\alpha$  be the isomorphism of  $g_N$  to  $g_Q$ . Then  $\alpha_{-1}: N/N^0 \rightarrow P/P^0$ ,  $\alpha_0: N^0N^1 \rightarrow P^0/P^1$ . Define  $\beta: N \rightarrow P$  as follows:

$$\begin{aligned} \beta \upharpoonright N_* \text{ is induced by } N_* &\rightarrow N/N^0 \xrightarrow{\alpha_{-1}} P/P^0 \rightarrow P_* , \\ \beta \upharpoonright N^0 \text{ is any lifting of } \alpha_0 . \end{aligned}$$

We have  $[\beta(X), \beta(Y)] - \beta[X, Y] \in P^0$  for  $X \in N^0, Y \in N$ . Thus  $\beta$  defines a map  $\gamma: N/N^0 \wedge N/N^0 \rightarrow P/P^0$  by  $\gamma(u \wedge v) = [\beta(X_u), \beta(X_v)] - \beta[X_u, X_v]$ , where  $X_u, X_v \in N_*$ ,  $X_u \bmod N^0 = u, X_v \bmod N^0 = v$ .

If we can find a  $\xi: N_* \rightarrow P^0$  such that

$$[\xi(X_u), \beta(X_v)] - [\xi(X_v), \beta(X_u)] \bmod P^0 = \gamma(u \wedge v) ,$$

then set  $\xi \upharpoonright N^0 = 0$  and define  $\beta' = \beta + \xi$ . Hence

$$[\beta'(X_u), \beta'(X_v)] - \beta'[X_u, X_v] \bmod P^0 = 0 , \quad \beta' = i_0 ,$$

for application of Theorem 5.

The question then is: can such a  $\xi$  be found? Finding such a  $\xi$  amounts to finding a coboundary for a cocycle in  $g_Q^{-1} \otimes \Lambda^2(g_Q^{-1})$ . The cocycle in question is  $\gamma \circ \alpha_{-1}^{-1} = \omega_\beta: \Lambda^2(g_Q^{-1}) \rightarrow g_Q^{-1}$ . In fact the cohomology class of  $\omega_\beta$  is invariant under the action of  $g_Q^0$ . Hence what we want to show is that every invariant cohomology class in  $H^{-1,2}(g_Q)$  is 0. This is not true, that is, we will not always be able to find a  $\xi$  with the desired property. However, if  $\beta$  is such that  $\omega_\beta$  does not bound, then  $\omega_\beta$  differs from some real multiple of  $\partial/\partial z \otimes \sum_1^n (dy^i \wedge dx^i)$  by a boundary. In other words, there are exactly two invariant cohomology classes in  $H^{-1,2}(g_Q)$ , namely, [0] and  $[\partial/\partial z \otimes \sum_1^n dy^i \wedge dx^i]$ .

When  $\omega_\beta$  does not bound, we can find a  $\xi: N_* \rightarrow P^0$  such that if  $\beta' = \beta + \xi$ , then  $\omega_{\beta'} = \lambda \partial/\partial z \otimes \sum (dy^i \wedge dx^i)$ . Let  $\partial/\partial \bar{x}^i = \beta'^{-1}(\partial/\partial x^i)$ ,  $\partial/\partial \bar{y}^i = \beta'^{-1}(\partial/\partial y^i)$ ,  $\partial/\partial \bar{z} = \beta'^{-1}(\partial/\partial z)$ . Span  $\{\partial/\partial \bar{x}^i, \partial/\partial \bar{y}^i, \partial/\partial \bar{z}\}$  and form a compact to  $N^0$ . Then the basis vectors have the same bracket relations as  $\partial/\partial x^i + y^i \partial/\partial z, \partial/\partial y^i - x^i \partial/\partial z, \partial/\partial z$ . In this case we can find a homomorphism  $i_0: (N, N^0) \rightarrow (Q, Q^0)$  such that the conditions of Theorem 5 are satisfied. Thus, if  $g_M = g_Q$ , then  $M$  is either flat or the real contact algebra. Since  $M \otimes \mathbb{C}$  is not flat,  $M$  must be the real contact algebra, i.e., real vector fields preserving the form

$$\omega = d\bar{z} - \sum_i^n (\bar{y}^i d\bar{x}^i - \bar{x}^i d\bar{y}^i)$$

up to a function multiple.

We now know the following possible real simple TILA in  $D(V)$ ,  $V$  being a real vector space:

1. Complex vector fields with respect to some complex structure on  $V$ .
2. Complex vector fields of divergence 0.
3. Complex Hamiltonian vector fields.
4. Complex contact vector fields.
5. Real vector fields =  $D(V)$ .
6. Real vector fields of zero divergence.
7. Real Hamiltonian vector fields.
8. Real contact vector fields.

**II.  $\text{Der}_*(g_M^0 \otimes g_M^{-1})$  for these TILA  $(M, M^0)$**

Now we want to determine the structure of  $\text{Der}_*(g_M^{-1} \otimes g_M^0)$  for  $M$  among the preceding algebras.

Consider the case when  $g_M^0$  is semisimple or semisimple plus center. Then  $d \in \text{Der}_*(g_M^0 \otimes g_M^{-1})$  can be represented in matrix form:

$$d = \begin{pmatrix} d_1^1 & d_2^1 \\ d_1^2 & d_2^2 \end{pmatrix},$$

$$d_1^1: g_M^0 \rightarrow g_M^0, d_2^1: g_M^0 \rightarrow g_M^{-1}, \text{ etc.}, d_2^2 = 0,$$

since  $g_M^{-1}$  is preserved. Now  $g_M^0 = \text{Der}(g_M^0)$  if  $g_M^0$  is semisimple, and  $g_M^0 \xrightarrow{\phi} \text{Der}(g_M^0)$  if  $g_M^0$  is semisimple plus center, where  $\phi$  is described as follows:

$$\phi(B + \lambda I)(A + \mu I) = [A, B] + \lambda \mu I,$$

where  $A, B \in [g_M^0, g_M^0]$ , and  $\lambda, \mu$  are linear maps of center into itself. Hence  $d_1^1(A + \mu I) = [A, B_d] + \lambda_d \mu I$ .

We will prove  $\lambda_d = 0$  when  $g_M^0$  is semisimple plus center. Note that  $d_2^2[A, v] = [d_1^1 A, v] + [A, d_2^2 v]$ . Substituting  $A = I$  we find  $d_2^2 v = \lambda_d v + d_2^2 v$ , and hence  $\lambda_d = 0$ . If  $C = d_2^2 \in \text{End}(g_M^{-1})$ , then  $d_2^2[A, v] = [d_1^1 A, v] + [A, d_2^2 v]$  show that  $[[A, v], C] = [[A, B_d], v] + [A, [v, C]]$ , which is the same as  $[[A, B_d], v] - [A, [C, v]] + [C, [A, v]] = 0$ , or  $[[A, B_d - C], v] = 0$  for all  $v$ . Thus  $C - B_d = \lambda_d I$ , where  $\lambda_d$  is a linear map of the center into itself, and hence  $d_2^2 v = [v, \lambda_d I + B_d]$ . Since  $d_1^2[A, B] = [d_1^1 A, B] + [A, d_1^2 B]$  is a cocycle condition,  $d_1^2 A = [A, v_d]$ . Hence we conclude

$$b(A + v) = [A + v, B_d + \lambda_d I + v_d],$$

and

$$\text{Der}_*(g_M^0 \otimes g_M^{-1}) = \begin{cases} g_M^0 \otimes g_M^{-1}, & \text{if } g_M^0 \text{ is semisimple plus center,} \\ g_M^0 + (I) \otimes g_M^{-1}, & \text{if } g_M^0 \text{ is semisimple,} \end{cases}$$

A similar argument show that  $\text{Der}_*(g_M^0 \otimes g_M^{-1}) = g_M^0 + (I) \otimes g_M^{-1}$ , when  $g_M^0 = \text{Csp}(U_*) \otimes U_*$ .

**III. The possible primitive TILA  $(L, L^0)$ , whose first two graded components are the appropriate spaces of derivations of the first two graded components of the  $(M, M^0)$  above**

Now we must determine the TILA  $(L, L^0)$  such that  $g_L^0 \otimes g_L^{-1} \subset \text{Der}_*(g_M^0 \otimes g_M^{-1})$  and such that  $(M, M^0)$  is an ideal in  $(L, L^0)$ . We consider each  $(M, M^0)$  enumerated in § I separately.

1.  $M$  is the complex algebra  $D(V)$ , where  $V$  is a complex vector space  $\text{Der}_*(g_M^0 \otimes g_M^{-1}) = g_M^0 \otimes g_M^{-1}$ ,  $g_L^0 = g_M^0$ ,  $g_L^{-1} = g_M^{-1}$ , and  $g_L^1$  is contained in the space of real prolongations of  $g_L^0$ . Are there any elements  $T$  of  $g_L^1$  such that there exists a  $v \in V = g_M^{-1}$  for which  $T(iv) \neq iT(v)$ ? We know that  $T(iv)u = T(u)iv = iT(u)v$ , because  $T(u) \in g_L^0$  which consists of complex linear maps. Also  $T(u)v = T(v)u$ , so that  $T(iv)u = iT(v)u$  for all  $u, v \in V$ . Thus  $g_L^1$  consists entirely of complex prolongations, and since  $g_M^1$  is the full complex prolongation space,  $g_L^1 = g_M^1$ . Repeating this reasoning we find  $g_L^i = g_M^i$ , and conclude  $L = M$ .

2.  $\text{Der}_*(g_M^0 \otimes g_M^{-1}) = g_M^0 + \text{center} \otimes g_M^{-1}$ . In this case,  $g_L^0 \subseteq g_M^0 + (I)$ . But  $g_M$  is an ideal in  $g_L$ , so that  $g_L^1$  must bracket  $g_M^{-1}$  into  $g_M^0$ . This shows that  $g_L^1$  is included in the space of real prolongations of  $g_M^0$ . The argument in case 1 shows that  $g_L^1$  consists only of complex prolongations. Thus we conclude  $g_L \subseteq g_M + (I)$ . Since  $M$  is flat,  $I$  is a derivation, and hence  $M \subseteq L \subseteq M + (I)$ .

3. By the same reasoning as above,  $M \subseteq L \subseteq M + (I)$ .

4. In this case,  $L = M$  since  $L \subseteq M + (I)$  and  $I$  is not a derivation of  $M$ .

5. As in case 1,  $L = M$ .

6, 7. As in case 2 and 3,  $M \subseteq L \subseteq M + (I)$ .

8. As in case 4,  $L = M$ .

One easily verifies that all of the above algebras are primitive, and the complete list of real primitive TILA follows:

1.  $D(V)$ ,  $V$  being a complex vector space.
2. Algebra of complex vector fields of divergence zero.
3. Algebra of complex vector fields with divergence on some real line in  $\mathbf{C}$ .
4. Algebra of vector fields of complex constant divergence.
5. Algebra of complex Hamiltonian vector fields.
6. Algebra of vector fields preserving a Hamiltonian form up to constant lying on a real line in  $\mathbf{C}$ .
7. Algebra of vector fields preserving a Hamiltonian form up to a complex constant.
8. Complex contact algebra.
9.  $D(v)$ ,  $V$  being a real vector space.
10. Algebra of real vector fields of divergence 0.



11. Algebra of real vector fields of constant divergence.
12. Algebra of real Hamiltonian vector fields.
13. Algebra of real vector fields preserving a Hamiltonian form up to a constant multiple.
14. Real contact algebra.

### References

- [1] V. Guillemin, *A Jordan Hölder theorem for certain infinite Lie algebras*, unpublished.
- [2] V. Guillemin, D. Quillen & S. Sternberg, *The classification of the complex primitive infinite pseudogroups*, Proc. Nat. Acad. Sci. U.S.A. **55** (1966) 687-690.
- [3] —, *The classification of the irreducible complex algebras of infinite type*, J. Analyse Math. **18** (1967) 107-112.
- [4] V. Guillemin & S. Sternberg, *An algebraic model of transitive differential geometry*, Bull. Amer. Math. Soc. **70** (1964) 16-47.
- [5] I. M. Singer & S. Sternberg, *On the infinite groups of Lie and Cartan, Part I (The transitive groups)*, J. Analyse Math. **15** (1965) 1-114.
- [6] S. Sternberg, *Notes on transitive infinite Lie algebras*, unpublished lecture notes.
- [7] —, *Odd results on infinite algebras*, unpublished.

HARVARD UNIVERSITY